

Patent  
Atty Docket No. 157835-0008

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Lawrence R. FINCHAM

Serial No.: 10/074,604

Filed: February 11, 2002

For: SOUND SYSTEM AND METHOD  
FOR SOUND REPRODUCTION

Customer No.: 29000

Confirmation No.: 4826

Group Art Unit: 2615

Examiner: Mei, Xu

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## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

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Sir:

Pursuant to 37 CFR §§ 1.97 and 1.98, this Information Disclosure Statement is submitted for the purpose of bringing to the attention of the U.S. Patent and Trademark Office information that may be material to the instant application. With certain exceptions as noted, copies of the listed items are not enclosed, as each of the items are U.S. patents or published applications. Only copies of cited U.S. non-published application(s) are enclosed for the convenience of the Examiner. However, copies of any or all of the other listed items will gladly be furnished upon request. The Examiner is requested to consider and make these items of information of official record in the Application.

The items identified in this Information Disclosure Statement (IDS) may or may not be "material" pursuant to 37 CFR §1.56 and the submission thereof by Applicants shall not be construed as an admission that any such patent, publication or other information referred to

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August 25, 2006

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Connie Kwon

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Patent  
Atty Docket No. 157835-0008

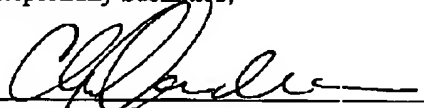
therein, or any combination thereof, is material or considered to be material (37 CFR §1.97(b)) or even qualifies as "prior art" under 35 USC §102 or §103 with respect to this invention unless specifically designated by Applicant as such.

Furthermore, the submission of the above listed items is not to be construed as a representation that an exhaustive search, or any search, has been made, or that additional information material to the examination of this Application does not exist.

The Examiner's attention is also called to copending application serial number 10/339,357 and the claims pending therein.

This IDS is believed to be timely in that it is being submitted under 37 CFR §1.97(b)(4), that is, before the mailing of a first Office action after the filing of a request for continued examination under § 1.114. Thus, no petition or fee is required. However, if the undersigned representative of Applicant is in error in this regard, then the Examiner is requested to consider this IDS as filed under §1.97(c) and is further authorized to charge any fee required by its filing to Deposit Account No. 09-0946.

Respectfully Submitted,



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Dated: August 25, 2006

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 157835-0008	<b>SERIAL NO.</b> 19/074,604
	<b>APPLICANT:</b> Lawrence R. Fincham	
	<b>FILING DATE:</b> February 11, 2002	<b>GROUP:</b> 2544

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		2003-0219137A1	1/2003	Fincham	381	302	-----
		2005-0129258A1	6/2005	Fincham	381	160	-----
		2002-0085731A1	07/2002	Aylward	381	349	-----
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		3,617,654	11/1971	Heidrich	381	346	-----
		4,509,184	04/1985	Yanagawa	381	308	-----
		5,253,301	10/1993	Sakamoto et al.	381	89	-----
		5,870,484	02/1999	Greenberger	381	300	-----
		6,363,157	03/2002	Chick	381	89	-----

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
EXAMINER INITIAL							

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

Information Disclosure Statement – Section 9 PTO-1449

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